

Spring 5-1-2015

# Physiological response to salinity challenge is mediated by Na<sup>+</sup>, K<sup>+</sup> - ATPase “isoform switching” in a euryhaline fish, the Alewife

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## Recommended Citation

Colby, Rebecca S., "Physiological response to salinity challenge is mediated by Na<sup>+</sup>, K<sup>+</sup> - ATPase “isoform switching” in a euryhaline fish, the Alewife" (2015). *Honors Scholar Theses*. 418.

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**Physiological response to salinity challenge is mediated by  $\text{Na}^+$ ,  $\text{K}^+$  - ATPase  
“isoform switching” in a euryhaline fish, the Alewife**

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**ABSTRACT**

$\text{Na}^+$ ,  $\text{K}^+$ -ATPase (NKA)  $\alpha 1a$  and  $\alpha 1b$  expression was investigated in Alewives in response to salinity challenge. Anadromous and landlocked Alewives were exposed to freshwater (0ppt) and seawater (30ppt) treatments and sampled at 2-, 5-, and 15-day time points. Anadromous alewives exhibit significant up-regulation of  $\alpha 1a$  in freshwater compared to seawater at all time points, and significant up-regulation of  $\alpha 1b$  in seawater compared to freshwater at all time points. In landlocked Alewives,  $\alpha 1a$  is significantly up regulated at the 5-day time point and  $\alpha 1b$  is significantly up regulated at all time points (in their respective salinities). Analysis of the ratio of  $\alpha 1b$  to  $\alpha 1a$  expression reveals a significant effect of salinity in both populations, and additionally a salinity by day interaction in the anadromous population. This significant expression difference between the isoforms denotes isoform switching in Alewives. Molecular phylogenetics performed using Geneious R8 with the Mr. Bayes plug-in show a single divergence evolution of the NKA isoforms in salmonids, but separate origins for the Alewife isoforms that may predate evolution in salmonids. Results of this study indicate that NKA  $\alpha 1a$  and  $\alpha 1b$  are present in Alewives and exhibit isoform switching in both anadromous and landlocked populations. Also, evidence shows that the evolutionary history of the isoforms may differ between taxa. Further studies should be done to investigate the presence of these isoforms in other species and create a more encompassing molecular phylogeny.

## INTRODUCTION

Euryhalinity, the ability for fish to tolerate a wide range of salinity, has arisen independently many times in evolutionary history (Schultz and McCormick 2013). Euryhaline bony fish must be able to maintain ion and water homeostasis (a capacity known as osmoregulation) in both freshwater and seawater. This is particularly challenging given that these environments differ dramatically in salt concentration (10 mOsm vs. 1,050 mOsm: freshwater and seawater, respectively). Such osmoregulatory flexibility requires that fish shift between absorbing ions in a dilute freshwater environment and secreting ions in a concentrated seawater environment, a function that is achieved by a suite of ion pumps, channels, and transporters in specialized gill cells known as ionocytes (Evans et al. 2005).

$\text{Na}^+$ ,  $\text{K}^+$ -ATPase (NKA) is a key gill ion transport protein by which euryhaline fish are able to osmoregulate in different salinity environments. Two distinct isoforms of the NKA  $\alpha$  subunit (the main catalytic subunit) may be particularly important to euryhalinity; NKA  $\alpha 1a$  and NKA  $\alpha 1b$ . The term “isoform” in this study is used in a general sense to describe different forms of the NKA alpha subunit. NKA  $\alpha 1a$  and  $\alpha 1b$  may be splice variants or paralogs, though recent evidence has identified them as paralogs (Dalziel et al. 2014). Freshwater or seawater acclimation induces differential expression of either NKA  $\alpha 1a$  (which predominates in freshwater acclimated fish) or NKA  $\alpha 1b$  (which predominates in seawater acclimated fish) in the gills of several euryhaline species (Urbina et al. 2012). Such salinity-dependent expression of NKA is an example of “isoform switching” and may be an adaptation to promote euryhalinity, though very few euryhaline taxa have been studied to date. This study attempts to

identify isoform switching in a previously untested euryhaline species, determine whether it has arisen independently in different lineages via convergent evolution, and whether it is lost in populations that become specialized in freshwater.

NKA isoform switching was first discovered in Rainbow Trout (*Oncorhynchus mykiss*; Salmonidae) by Richards et al. (2003). Richards et al. (2003) utilized quantitative Real-time PCR to measure mRNA levels of  $\alpha 1a$  and  $\alpha 1b$ , and found an increase in  $\alpha 1a$  mRNA in freshwater and an increase in  $\alpha 1b$  mRNA upon seawater transfer. Researchers have also identified isoform switching in Atlantic Salmon (*Salmo salar*; Salmonidae) using Western blot to track salinity-dependent abundance of the protein product (McCormick et al. 2009). Three amino acid substitutions were identified that are thought to give NKA  $\alpha 1a$  and  $\alpha 1b$  isoforms distinctive electrochemical properties beneficial in freshwater and seawater, respectively. In particular, in  $\alpha 1a$  these substitutions promote binding of sodium ions in the cytoplasm to reduce the work needed to actively transport sodium ions across the steep gradient from a dilute environment to the blood (Jorgensen et al. 2008). Such energetic efficiency might suggest why isoform switching may be an adaptive mechanism that facilitates euryhalinity.

Although isoform switching has been identified in several unrelated taxa, little is known about how this adaptive mechanism evolved (Salmonidae: Richards et al. 2003, Bystriansky et al. 2006, Jorgensen et al. 2008, and McCormick et al. 2009; Cichlidae: Tipsmark et al. 2011; Galaxiidae: Urbina et al. 2012). Two alternative hypotheses for its evolutionary origin are that it: (1) evolved independently in multiple, unrelated lineages (convergence); or, (2) evolved once in an ancestral taxon deep in the evolutionary history of fishes (single divergence). Past molecular evidence supports the first hypothesis:

Urbina et al (2012) produced a molecular phylogenetic tree that depicts an absence of separate  $\alpha 1a$  and  $\alpha 1b$  groupings, wherein  $\alpha 1a$  and  $\alpha 1b$  are more closely related to each other within a species than to their counterparts in different species. For example,  $\alpha 1a$  in Atlantic Salmon is more closely related to  $\alpha 1b$  in the Atlantic Salmon than it is to an  $\alpha 1a$  in any other species. This type of relationship between isoforms provides evidence for the hypothesis of independent or convergent evolution (Urbina et al 2012). Convergent evolution of these isoforms is especially interesting because they have all or most of the same three amino acid substitutions mentioned previously. It is possible that selection is strong enough on the isoforms to drive them to evolve the same substitutions. More recent evidence found by Dalziel et al. (2014) suggests that NKA  $\alpha 1a$  and  $\alpha 1b$  were derived in a common ancestor. The molecular phylogeny built by Dalziel et al. (2014) displays clades that are isoform specific, but only including Salmonidae. A gene duplication event in Salmonidae may be the point of origin for NKA  $\alpha 1a$  and  $\alpha 1b$  in this family. In this case, members of the Salmonidae have retained the isoforms evolved from the duplication event instead of deriving them independently (Dalziel et al. 2014). The opposing evidence found by Urbina et al. (2012) and Dalziel et al. (2014) concerning the evolution of NKA isoforms is an important finding and requires further corroboration with the study of additional species.

In this study, the Alewife (*Alosa pseudoharengus*), a euryhaline teleost in the family Clupeidae, is used to explore the evolutionary origins of NKA isoform switching. I predict that NKA isoforms in the Alewife gill will exhibit isoform switching and that freshwater and seawater NKA isoforms evolved independently. To test this, I attempt to identify candidate isoforms in Alewife using the isoform-specific amino acid

substitutions found by Jorgensen et al. (2008), and verify the presence of isoform switching through quantitative Real-time PCR by testing for salinity-dependent expression of NKA  $\alpha$ 1a and  $\alpha$ 1b. Candidate Alewife  $\alpha$ 1a and  $\alpha$ 1b isoforms are placed on a molecular phylogenetic tree with all other  $\alpha$ 1a and  $\alpha$ 1b isoforms known for fish using data from Urbina et al. (2012). If the prediction for convergent evolution is correct, the  $\alpha$ 1a and  $\alpha$ 1b isoforms will group within a species (similar to what Urbina et al. (2012) found). Alternatively, findings could suggest that isoform switching evolved once in a deep teleostean ancestor (as suggested by Dalziel et al. 2014). If this single divergence is the case, my molecular phylogenetic tree will form an  $\alpha$ 1a clade separate from an  $\alpha$ 1b clade, effectively grouping by isoform rather than by species. This would indicate that the  $\alpha$ 1a and  $\alpha$ 1b isoforms are more related to their counterparts in other species than to one another within species. In this case,  $\alpha$ 1a may have evolved in an early ancestor and persisted in emergent species, and  $\alpha$ 1b may have evolved separately in the same ancestor or in a later ancestor. Evolution in a common ancestor may be a likely solution for the origin of the  $\alpha$ 1a and  $\alpha$ 1b isoforms, but it is possible that evolutionary history may vary between taxa.

Evidence for differentiation of NKA isoform switching in life history forms may be found by investigating changes to salinity-dependent isoform expression in a landlocked (i.e., freshwater-restricted) population of a euryhaline species in which seawater has been eliminated from the life cycle. For this reason, Alewives present an exceptional opportunity to investigate the adaptive importance of NKA isoform switching, as independently evolved landlocked populations of Alewives exist in Connecticut and were formed 300 to 5,000 years (Palkovacs et al. 2008). A previous

study shows that landlocked Alewives have reduced tolerance of seawater and slightly enhanced tolerance of freshwater relative to anadromous Alewives (Velotta et al, 2014). I plan to use gill tissue sampled from freshwater and seawater challenged landlocked and anadromous Alewives to investigate possible expression differences between these populations. I expect to find that expression of  $\alpha 1a$  in freshwater will be higher and expression of  $\alpha 1b$  in seawater will be lower among landlocked compared to anadromous Alewives. The reduction of reciprocal  $\alpha 1a$  and  $\alpha 1b$  expression in the landlocked population is feasible because of their specialization to freshwater and the association of freshwater tolerance to  $\alpha 1a$  expression. This result might signify the adaptive nature of these isoforms by indicating a correlation between the magnitude of isoform switching and the presence of life history traits involving different salinity environments. This might also indicate that in the anadromous population anadromy and isoform switching are adaptively linked. An alternative prediction would be that the landlocked Alewives would display the same capacity for isoform switching as the anadromous Alewives. This would suggest that the reduction in seawater tolerance in the landlocked population is a result of changes to other osmoregulatory mechanisms.

## MATERIALS AND METHODS

### *Sample Collection and Treatment*

Samples are used from a previous study (Velotta et al. 2014) in which young of the year Alewives (*Alosa pseudoharengus*) were captured via purse seine after dusk using a floating pool light as an attractant. Bride Lake in East Lyme, Connecticut was sampled for anadromous alewives, and Rogers Lake in Old Lyme, Connecticut was sampled for



landlocked Alewives in October 2011. Captured fish were brought to the Conte Anadromous Fish Research Lab in Turners Falls, Massachusetts and kept at 0.5ppt salinity before undergoing treatments. Captive alewives were then subjected to 2, 5, or 15 days of either 0ppt or 30ppt salinity treatment in 1,200-liter tanks equipped with charcoal filters. Once treatments were completed, Alewives were euthanized with MS-222 and gill tissue was obtained. RNA was later extracted from homogenized gill tissue using the RNeasy Mini Kit (Qiagen, Valencia, CA, USA), DNase treated using the TURBO DNA-free kit (Life Technologies, Grand Island, NY, USA), and stored at -80. I reversed transcribed samples using about 500 ng of RNA with the High-Capacity cDNA Reverse Transcription Kit with RNase Inhibitor (Life Technologies, Grand Island, NY, USA) to obtain cDNA for quantitative analysis.

#### *Choosing and Testing Primers*

Candidate sequences were identified using NCBI BLAST and an existing Alewife transcriptome from Velotta et al, (2014). I built nucleotide alignments containing select candidate sequences and known NKA  $\alpha 1a$  and  $\alpha 1b$  sequences from previous studies (Richards et al. 2003, Bystriansky et al. 2006, Jorgensen et al. 2008, McCormick et al. 2009, Tipsmark et al. 2011, and Urbina et al. 2012) using Geneious and CLC Genomics. Transcripts were filtered by analyzing alignments for Alewife sequences most closely matched to the previously identified sequences, and with the fewest gaps. I designed sequence specific primers for chosen candidates, and then tested them using PCR and gel electrophoresis.

I chose two products that I believed to be  $\alpha 1a$  and  $\alpha 1b$ , and brought them to the University of Connecticut DNA Biotechnology Facility for sequencing. Sequences were

aligned with previously identified NKA  $\alpha$ 1a and  $\alpha$ 1b sequences in different species of fish. Alignments were performed with Geneious and CLC. Conserved regions between these sequences were identified and then assessed based on mismatches and gaps to determine the likelihood that the candidates were viable. Functionally important protein substitutions found by Jorgensen et al. (2008) were considered, but Alewife NKA  $\alpha$ 1a contained none of the regions containing substitutions and  $\alpha$ 1b contained only one (fig. 1).

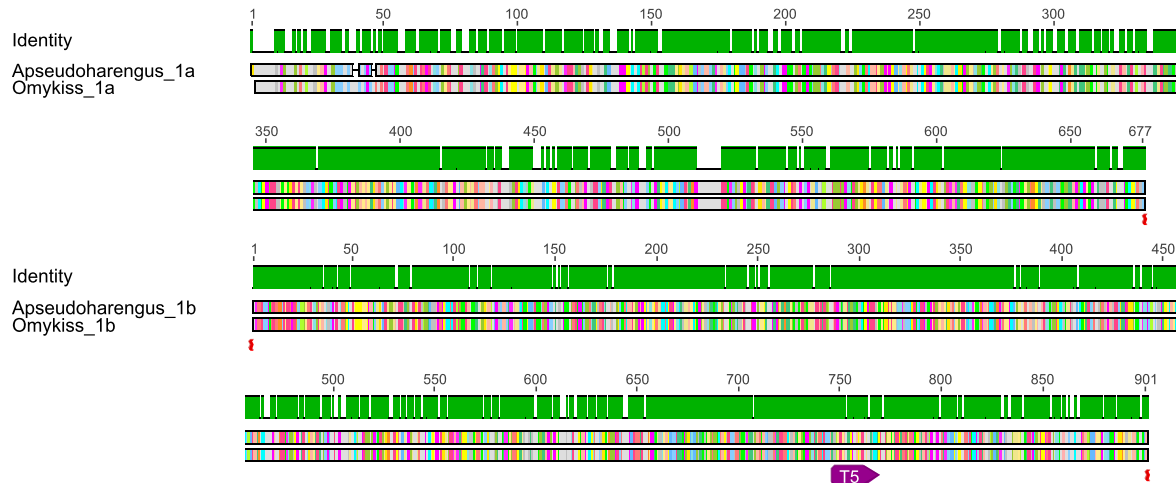


Figure 1: Protein alignment of anadromous Alewife NKA  $\alpha$ 1a and  $\alpha$ 1b with Rainbow Trout sequences. Proteins are color-coded, so mismatched colors indicate mismatched amino acid sequence. The green bar indicates sequence agreement. Gaps in the green bar signify sequence disagreements. The purple annotation labeled T5 represents transmembrane region 5 of Rainbow Trout where Jorgensen et al. (2008) identified a functionally important amino acid substitution. The region only has 4 mismatches between Rainbow Trout and Alewife, but the single amino acid substitution that was deemed functional is not present in the Alewife sequence (it is one of the mismatches).

New primers compatible with quantitative analysis were made for the resulting sequences (Table 2) to test for isoform switching and confirm their identity as NKA  $\alpha$ 1a and  $\alpha$ 1b. The suspected NKA  $\alpha$ 1a was confirmed to show salinity-dependent expression

with gel electrophoresis, but the NKA  $\alpha 1b$  candidate failed. A second attempt at primer design yielded an NKA  $\alpha 1b$  candidate that exhibited the expected salinity-dependent expression.

Table 2 - qPCR Primers

Name	Sequences	Primer Success
13_F	GGTGGCAGAGGAGCAGTC	Y – confirmed $\alpha 1a$
13_R	CGACGCACAGATCCACAG	Y – confirmed $\alpha 1a$
14.1_F	AGACAGGAACCGCTTTTGAC	Y – confirmed $\alpha 1b$
14.1_R	TCTTGAGGATGGGGACATTC	Y – confirmed $\alpha 1b$

### *Real-time Quantitative PCR*

Real-time quantitative PCR (qPCR) was performed to analyze expression of the NKA  $\alpha 1a$  and  $\alpha 1b$  isoforms. Six samples from each treatment group were used in this assay as RNA samples were limiting. I used a Bio-Rad iCycler (Bio-Rad Laboratories, Hercules, CA, USA) to run qPCR with Bio-Rad's iTaq Universal SYBR Green Supermix. I ran Samples in triplicate on 96-well plates. Conditions of the thermocycler were as follows: 10 minutes at 95°C, 45 cycles of 95°C for 20 seconds and 60°C for 50 seconds. Primer efficiencies were calculated as E-values before data was deemed reliable and all were found to be acceptable. The ideal E-value is 2, and all primer pair efficiencies approached a value of 2 (EF1a = 1.9, 13 ( $\alpha 1a$ ) = 2.1, and 14.1 ( $\alpha 1b$ ) = 2.0) The E-value is calculated as:

$$E = 10^{-\frac{1}{s_d}}$$

where  $s_d$  is the slope of the line created by plotting the Cq of the sample for three dilutions (1:1, 1:10, 1:100) against the magnitude of dilution (0, -1, -2). All samples were

run in triplicate, and dilution series were run three times and averaged to obtain the E-value.

### *Data and Statistics*

I used EF1 $\alpha$  as a reference gene in accordance with a previous study (Velotta et al, 2014). Reference genes should show no changes in expression under experimental conditions, and are used in case cDNA yield from reverse transcription varies among samples. To account for differences in cDNA yield of experimental samples, relative expression is normalized using the following equation:

$$\Delta\Delta C_T = \frac{E_{tar}^{\Delta C_T tar(calibrator-test)}}{E_{ref}^{\Delta C_T ref(calibrator-test)}}$$

Data was also normalized by calibrator samples because of significant plate-to-plate variability for EF1 $\alpha$  (One way ANOVA:  $F_{11,24} = 50$ ,  $p = 0.001$ ). There was also a strongly correlated plate effect for EF1 $\alpha$  and both isoforms (NKA  $\alpha 1a$ :  $r = 0.94$ ,  $p = 0.006$ ; NKA  $\alpha 1b$ :  $r = 0.97$ ,  $p = 0.001$ ). Since the qPCR assays were done using multiple 96-well plates, it is possible that one plate exhibited higher overall expression than another plate due to random effects or error. Normalizing data with the calibrator sample (relativizing experimental sample expression to calibrator sample expression) accounts for random plate differences. All data used for statistical analyses of gene expression is log transformed so that there is no variance and mean relationship ( $r = -0.34$ ,  $p = 0.07$ ).

Gene expression of EF1 $\alpha$  was investigated under experimental conditions, and in non-normalized data an effect of salinity and life history form was present. Salinity responses of EF1 $\alpha$  were notable lesser than NKA  $\alpha 1a$ , so results may not be significantly skewed. Also, it is possible that there is no salinity response, and changes in expression

are simply due to differences in cDNA yield. To eliminate bias from EF1 $\alpha$ , a measure of gene expression was used that does not factor in a reference gene.

Gene expression was also investigated as a ratio of  $\alpha$ 1b to  $\alpha$ 1a expression relativized to the calibrator samples, here on referred to as the “ratio-ratio”. The ratio-ratio of the gene expression relativizes gene expression using the two experimental genes instead of a reference gene to investigate expression differences.. This removes error that may be incurred by the use of the EF1 $\alpha$  reference gene.

All statistical analyses were performed using SAS. Mixed model analysis was used so that models would include both fixed effects and random effects. The effect of tank was coded as a random effect in the models. Experimental treatments had two tanks each in case of contamination or other mortality inducing events. Random differences between tanks may exist, and the mixed model analysis accounts for this possibility. Full models had length, salinity, length x salinity, day, length x day, salinity x day, and length x salinity x day variables (“variable x variable” indicates an interaction variable).. Models were reduced by removing statistically insignificant variables while considering AIC, AICC, and BIC. These scores use data fit and model complexity to provide information about which model best fits the data. The final model for all mixed model analyses included length and salinity as variables.

### *Phylogenetic Analysis*

Previously identified sequences obtained from NCBI GeneBank or Ensembl (table 2) were compiled into Geneious R8 software. I identified Alewife sequences for NKA  $\alpha$ 1a and  $\alpha$ 1b by realigning sequenced products with the parent sequence originally

used to design primers (table 3). I made nucleotide alignments using the Geneious align feature. The alignment was then used for Bayesian phylogenetic analysis using the Mr. Bayes plugin for Geneious R8.

Table 2: Previously identified sequences used, in this study, for molecular phylogenetics.

Species	Genbank Accession number or Ensembl ID	Name in Phylogeny
<i>Salmo salar</i>	BT058747.1	Ssalar_1b
<i>Oncorhynchus mykiss</i>	NM_001124461.1	Omykiss_1a
<i>Oncorhynchus mykiss</i>	NM_001124460.1	Omykiss_1b
<i>Oncorhynchus mykiss</i>	NM_001124459.1	Omykiss_1c
<i>Oncorhynchus mykiss</i>	NM_001124458.1	Omykiss_2
<i>Oncorhynchus mykiss</i>	NM_001124630.1	Omykiss_3
<i>Oncorhynchus masou</i>	AB573640.1	Omasou_1a
<i>Oncorhynchus masou</i>	AB573639.1	Omasou_1b
<i>Oreochromis niloticus</i>	ENSONIT00000015703	Oniloticus_1-1
<i>Oreochromis niloticus</i>	ENSONIT00000015672	Oniloticus_1-2
<i>Oreochromis niloticus</i>	ENSONIT00000015628	Oniloticus_1-3
<i>Oreochromis niloticus</i>	ENSONIT00000015603	Oniloticus_1-4
<i>Anabas testudineus</i>	JN180940	Atestudineus_1a
<i>Anabas testudineus</i>	JN180941	Atestudineus_1b
<i>Anabas testudineus</i>	JN180942	Atestudineus_1c
<i>Takifugu rubripes</i>	ENSTRUT000000032672	Trubripes_1-1
<i>Takifugu rubripes</i>	ENSTRUT000000033934	Trubripes_1-2
<i>Tetraodon nigroviridis</i>	ENSTNIT000000009334	Tnigroviridis_1-2
<i>Tetraodon nigroviridis</i>	ENSTNIT000000009181	Tnigroviridis_1-2
<i>Gasterosteus aculeatus</i>	ENSGACT000000018945	Gaculeatus_1-1
<i>Gasterosteus aculeatus</i>	ENSGACT000000018961	Gaculeatus_1-2
<i>Salvelinus alpinus</i>	KJ175154	Salpinus_1a
<i>Salvelinus alpinus</i>	KJ175155	Salpinus_1b
<i>Salmo salar</i>	KJ175156	Ssalar_1a
<i>Salmo salar</i>	KJ175157	Ssalar_1c
<i>Thymallus arcticus</i>	KJ175158	Tarcticus_1a
<i>Thymallus arcticus</i>	KJ175159	Tarcticus_1b
<i>Coregonus clupeaformis</i>	KJ175160	Cclupeaformis_1a
<i>Coregonus clupeaformis</i>	KJ175161	Cclupeaformis_1b
<i>Esox lucius</i>	KJ175162	Elucius_1a-x
<i>Esox lucius</i>	KJ175163	Elucius_1a-y
<i>Esox lucius</i>	KJ175164	Elucius_1b
<i>Esox lucius</i>	KJ175165	Elucius_1c
<i>Osmerus mordax</i>	KJ175166	Omordax_1-1
<i>Osmerus mordax</i>	KJ175167	Omordax_1-2

Table 3: Anadromous Alewife NKA  $\alpha$ 1a and  $\alpha$ 1b sequences. The  $\alpha$ 1a sequence is in frame for translation, but the  $\alpha$ 1b sequence needs translation frame 2 for accurate protein sequence.

Isoform	Nucleotide Sequence
$\alpha$ 1a	<p> TGTTTCATCCAGAAGAGAAGTACCGACCGCCACCATGGGATACGGGGCCG  GAAGAGACAAGTATGAGCCTGCAGCTACCTCTGAGCAGGGGGGCAAGAA  GAAAAAGGGAAAGGGAAAGGGGAAAGAAAAAGACATGGACGAGCTAAA  GAAGGAAGTGACCATGGAGGACCACAACTGAGCCTGGATGAGCTGCAC  CGCAAGTTTGGGACAGACCTGCAAAAAGGTCTGACCACAGCCCGGGCAG  CAGAGATCCTGGCAGGAGATGGACCCAATGCCCTCACCCACCCCCTACT  ACTCCAGAGTGGGTGAAATTTTGGCGCCAGCTGTTTGGGGGTTTCTCTAT  GTTGCTGTGGATTGGAGCCATACTCTGCTTCCTGGCCTATGGTATCCAAG  CTGCCATGGAAGAGGAGCCACAGAATGATAATCTCTACCTCGGTGTTGTC  TTGTCTGCTGTCGTTATCATCACTGGCTGCTTCTCCTACTATCAAGAGGCC  AAAAGCTCAAAGATCATGGAGTCCTTCAAGAACATGGTTCCTCAGCAAG  CCCTGGTAATCCGAAGCGGAGAGAAGCTGAGTATCAATGCAGAAGAAGT  GGTTTTGGGTGACCTGGTAGAAGTGAAGGGAGGAGATCGGATACCTGCG  GATCTTAGGGTCATCTCCTCTCATGGCTGCAAGGTGGATAACTCTTCCCTC  ACTGGTGAGTCCGAGCCCCAGACCCGCTCTCCTGACTTCACCAATGAGAA  CCCGCTGGAGACTCGTAACATTGCTTTCTTCTCTACAACTGTGTGGAAG  GCACAGCCCGTGAATTGTCGTGAACACCGGCGACCGTACAGTGATGGG  TCGTATTGCCACTCTGGCTTCTGGTCTGGAGGGAGGACGCACCCCATTG  CAATTGAAATTGAGCATTTTATCCACATCATCACAGGCGTGGCAGTCTTC  CTGGGAGTCTCCTTCTTCGTCCTGTCACTCATTCTGCAGTACACTTGGCTG  GAGGCTGTCATCTTCTCATTTGGGATCATTGTTGCCAACGTGCCAGAGGG  GCTGCTGGCTACTGTACGGTGTGCCTCACACTGACCGCCAAAAGAATGG  CCCGCAAGAATTGCTTTGTAAAGAATCTGGAAGCTGTGGAGACATTGGG  ATCCACTTCTACCATTGCTCTGACAAAACCTGGGACCTTAACGCAGAACC  GAATGACTGTAGCCCATATGTGGTTTGATAACCAGATCCATGAGGCTGAC  ACTACCGAGGACCAGTCAGGAACCTCGTTTGACAAGAGCTCGCACACCT  GGGTGGCCCTGTCCACATTGCCGGACTCTGCAACCGTGCCGTCTTCAAG  GGAGGTCAGGACAACATCCCGGTGCTCAAGAGGGATGTGGCCGGGGATG  CCTCTGAGTCTGCCCTGCTCAAGTGCATCGAGCTGTCCTCTGGCTCCGTGA  AGCTGATGCGTGAACGTAACAAGAAAGTGGCCGAGATTCCCTTCAATTCC  ACCAACAAATACCAGCTCTCCATCCACGAGACCGAAGACCCCAATGACA  ACCGATACCTGCTAGTGATGAAGGGGGCCCCCGAGCGCATCCTGGACCG  TTGCTCCACCATCCTGCTGCAGGGCAAGGAGCAGCCGCTGGATGAGGAG  ATGAAGGAGGCCTTCCAGAACGCTACCTGGAGCTGGGCGGTCTGGGTG  AAAGAGTGCTCGGCTTCTGCCATTTCAACCTGCCTGATGACCAGTTCTCT  GAGGATTTCTGCTTTGACTGTGAGGAGGTGAACTTCCCCACCGAGAATCT  GTGCTTCATTGGCCTCATGTCCATGATTGACCCTCCTCGTGCTGCTGTGCC  CGATGCTGTTGGCAAGTGCAGGAGTGTGGAATCAAGGTCATCATGGTG  ACTGGTGATCATCCCATCACTGCTAAGGCCATCGCCAAAGGTGTAGGCAT  CATCTCTGAGGGTAACGAGACCGTGGAAGATATTGCAGCTCGCCTGAAC  ATTCCCATACAGAAGTCAACCCAAGAGATGCCAAGGC </p>
$\alpha$ 1b	<p> AAATATGGATGACCTGAAGAAAGAAGTAGATCTGGATGACCACAACTG  ACCTTGATGAGCTTCACCGCAAATATGGAACAGACCTGCAGAGGGGTC  TGACCTCCACTCGTGCAAAAAGAGGTCCTTGATCGTGATGGTCCCAATTCT  CTGACCCCAACACCCACCCAGAAATGGGTGAAGTTCTGCAAGCAGCT  CTTGGTGGGTCTCCACTCTGCTGTGGATTGGAGCCATCCTCTGCTTCCT </p>

<p> GGCTTACGGTATTTCAGGCTGCCTCAGAAGATGAACCAGCAAATGATAATC  TGTA CT TGGGCGTTGTGCTATCTGCTGTCGTCATCATCACTGGCTGTTTCT  CCTACTTTCAAGAAGCCAAGAGTTCAAAGATTATGGAGTCCTTTAAGAAC  CTGGTCCCTCAGCAAGCTCTGGTTGTGCGTGACGGAGAAAAAAGAGCA  TCGATGCTGTGGAGGTGGTGGCTGGAGATCTGGTGGAGGTGAAAGGCGG  AGACAGAATCCCTGCTGACCTGCGTATCATCTCTGCTCATGGCTGCAAGG  TGGACAACTCCTCCCTCACAGGAGAATCTGAGCCTCAGACACGTACCCCT  GACTTCTCCAATGATAACCCCTGGAACTAGGAACATCGCTTTCTTCTC  CACTAACTGTGTGGAAGGTACTGCTAGAGGTATTGTTCATCAACACTGGTG  ATCGCACAGTCATGGGTCGTATTGCCACCCTGGCTTCTGGCCTTGAAGTC  GGCCGCACACCCATCTCCATTGAAATCGAGCACTTCATCCACATCATCAC  TGGTGTGGCTGTCTTCCTGGGTGTCTCGTTCTTCATCCTCTCGCTCATCCTT  GGATACAGCTGGCTGGAGGCGGTCATCTTCCTCATCGGGATCATTGTTGC  CAACGTGCCGGAAGGTCTCCTGGCTACAGTCACTGTGTGTCTGACCTTGA  CTGCCAAGCGCATGGCCAAGAAGAAGTGCCTGGTGAAGAACCTGGAAGC  TGTGGAGACTCTGGGCTCTACGTCCACCATCTGCTCTGACAAGACCGGAA  CTCTGACCCAGAACCGCATGACGGTGGCCACATGTGGTTTGACAACCAG  ATCCATGAAGCCGACACCACTGAGAACCAGACAGGAACCGCTTTTGACC  GCTCATCAGCAACCTGGAACCTCCCTGGCACGCGTGGCTGGTCTGTGCAAC  CGTGCTGTCTTCCTGGCAGATCAGCAGAATGTCCCCATCCTCAAGAGAGA  CACAGCTGGTGACGCTCTGAGTCTGCCCTGCTGAAGTGCATTGAGCTGT  GCTGTGGCCCGGTGAAAGAGATGCGTGAGAAGTACCAGAAGCTTGCTGA  GATCCCCTTCAACTCCACCAACAAGTACCAGCTCTCCATCCATGTCAATC  CTGATACCTTGAGTCAAAGCACCTGCTGGTCATGAAGGGAGCACCAGA  GAAGATTCTAGAACGCTGTTCCACCATCCTCATCGAGGGCAAAGAGCAG  CGTCTCGACGAAGAGATGAGGGCTGCGTTCAACAACGCCTATATGGAGC  TGGGAGGCAGGGGAGAGAGAGTGTGGGTTTCTGTCATCTCCCCCTTCCT  GACGGGCAGTTTCCCGAGGGCTTTAAGTTTGACACGGACGAGATGAACTT  CCCCACCGAGGGCCTGTGCTTCCTTGGCCTCATGTCCATGATCGACCCCC  CGCGTGCTGCCGTGCCTGATGCCGTGCGCAAGTGCAGAACCGCTGGAATC  AATGTCATCATGGTCACAGGTGACCACCAATTACTGCAGGGGCCATAGC  CAGGGCTGTGGGCATCATATCCGAGGGGCAGTGAAACAGTGAAGGAAATG  GCAGAACGACTGAACGTGCCTGTTAGCGAGATCAATCCAAGAGATGTGA  AGGCCTGCGTGATCCACGGTGGGGAGCTGAAGGACATGACGTCCGAGCA  GCTGGACGACATCCTGAGGAACCACACAGAGATTGTGTTTGCCAGAACCT  CTCCGCAGCAGAAGCTCATCATCGTTGAAGGTTGTCAGCGGCAGGGTGCT  ATTGTGGCAGTGACGGGCGACGGGGTCAATGACTCTCCAGCTCTGAAGA  AGGCCGACATTGGCGTCGCCATGGGTATCGCTGGGTCTGACGTCTCCAAG  CAGGCTGCTGACATGATCCTCTTGATGATAACTTTGCCTCCATCGTCAAC  AGGAGTCGAAGAGGGGCGTTTGATCTTTGATAACTTGAAGAAGTCCATCG  CTTACACGCTGACCAGTAAATCCCTGAGATGTCACCGTTTCTCTTCTTCG  TCATCGCTAACATCCCCCTGGCTCTTGCCACGGTCACCATCCTCTGTATCG  ACCTGGGCACTGACATGGTTCTTCCATCTCCCTGGCGTATGAGAAGGCG  GAGAGCGACATCATGAAGAGACAGCCCAGAGACCCTGTGGCGGACAAGC  TGGTCAACGAGAGGCTGATTAGCGTAGCCTACGGTCAGATTGGAATGATC  CAAGCAGTGGGAGGATTCTTACCTACCTTGTGATCCTGGCTGAGAATGG  CTTCTGCCCATGGACCTCTTTGGAATTCGAGTCTCCTGGGAAGACAAGT  ACAACAACGAGCTCGAGGACAGTTATGGCCAGCAGTGGACATACGAGAG  CAGAAAGATTGTGGAGTACACGTGCCACACAGCGTTTTTTCGTGAGCATCG  TCATCGTGCAAGTGGAC </p>
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## RESULTS

### *Presence of Isoform Switching*

Isoform switching in Alewives has been identified. The candidate gene for NKA  $\alpha 1a$  shows significant up-regulation in freshwater and down-regulation in seawater as is expected (fig. 2). In the anadromous population there is a significant effect of salinity (ANOVA:  $F_{1,25} = 5.2$ ,  $p = 0.03$ ), as well as a significant interaction of salinity and day (ANOVA:  $F_{2,25} = 42$ ,  $p = 0.0001$ ). Effect of salinity on  $\alpha 1a$  expression is significant at all time points (table 4). Expression of NKA  $\alpha 1b$  is also significant at all time points (table 4). Isoform switching of NKA  $\alpha 1a$  and  $\alpha 1b$  is evident in the ratio-ratio graph (fig. 4), which has a significant salinity effect (ANOVA:  $F_{1,25} = 5.22$ ,  $p = 0.0311$ ) and salinity by day interaction (ANOVA:  $F_{2,25} = 31.64$ ,  $p = <.0001$ ).

Table 4: Statistical analysis of expression by day in anadromous Alewives

<b>NKA <math>\alpha 1a</math></b>				
Day 2 - Type 3 Tests of Fixed Effects				
Effect	Num DF	Den DF	F Value	Pr > F
Lt	1	8	0.77	0.4065
salinity	1	8	54.18	<.0001
Day 5 - Type 3 Tests of Fixed Effects				
Effect	Num DF	Den DF	F Value	Pr > F
Lt	1	8	0.67	0.4375
salinity	1	8	1123.02	<.0001
Day 15 - Type 3 Tests of Fixed Effects				
Effect	Num DF	Den DF	F Value	Pr > F
Lt	1	8	0.28	0.6142
salinity	1	8	161.13	<.0001
<b>NKA <math>\alpha 1b</math></b>				
Day 2 - Type 3 Tests of Fixed Effects				
Effect	Num DF	Den DF	F Value	Pr > F
Lt	1	8	0.22	0.6496
salinity	1	8	362.78	<.0001

Day 5 - Type 3 Tests of Fixed Effects				
Effect	Num DF	Den DF	F Value	Pr > F
Lt	1	8	0	0.9842
salinity	1	8	411.66	<.0001
Day 15 - Type 3 Tests of Fixed Effects				
Effect	Num DF	Den DF	F Value	Pr > F
Lt	1	8	0.04	0.8552
salinity	1	8	183.3	<.0001

### *Expression in Landlocked Alewives*

Expression of the NKA isoforms in landlocked Alewives shows significant salinity effect of  $\alpha 1a$  at an intermediate time point and  $\alpha 1b$  at all time points. There is a significant effect of salinity at day 5 for NKA  $\alpha 1a$  (table 5). However, NKA  $\alpha 1a$  expression does not increase to the same extent in the landlocked population as in the anadromous population (fig. 5). A significant effect of salinity on expression of NKA  $\alpha 1b$  is present at all time points (fig. 6, table 5). Isoform switching can also be seen in the ratio-ratio graph (fig. 7), which has a significant salinity by day interaction effect (ANOVA:  $F_{2,25} = 5.67$ ,  $p = 0.0093$ ).

Table 5: Statistical analysis of expression by day in landlocked Alewives

<b>NKA <math>\alpha 1a</math></b>				
Day 2 - Type 3 Tests of Fixed Effects				
Effect	Num DF	Den DF	F Value	Pr > F
Lt	1	8	7.78	0.0236
salinity	1	8	4.83	0.0592
Day 5 - Type 3 Tests of Fixed Effects				
Effect	Num DF	Den DF	F Value	Pr > F
Lt	1	8	0.36	0.5672
salinity	1	8	27.04	0.0008
Day 15 - Type 3 Tests of Fixed Effects				
Effect	Num DF	Den DF	F Value	Pr > F
Lt	1	8	0.53	0.4884
salinity	1	8	4.65	0.0631

<b>NKA <math>\alpha</math>1b</b>				
Day 2 - Type 3 Tests of Fixed Effects				
Effect	Num DF	Den DF	F Value	Pr > F
Lt	1	8	3.22	0.1103
salinity	1	8	39.05	0.0002
Day 5 - Type 3 Tests of Fixed Effects				
Effect	Num DF	Den DF	F Value	Pr > F
Lt	1	8	0.48	0.508
salinity	1	8	171.54	<.0001
Day 15 - Type 3 Tests of Fixed Effects				
Effect	Num DF	Den DF	F Value	Pr > F
Lt	1	8	0.62	0.4522
salinity	1	8	11.27	0.01

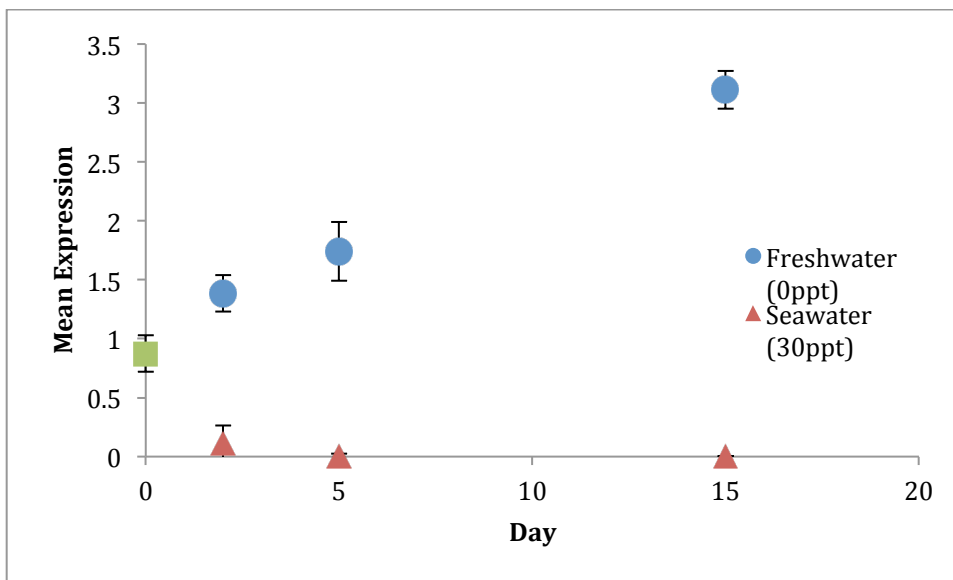


Figure 2: Mean expression of NKA  $\alpha$ 1a in anadromous Alewives during a multiday salinity challenge.

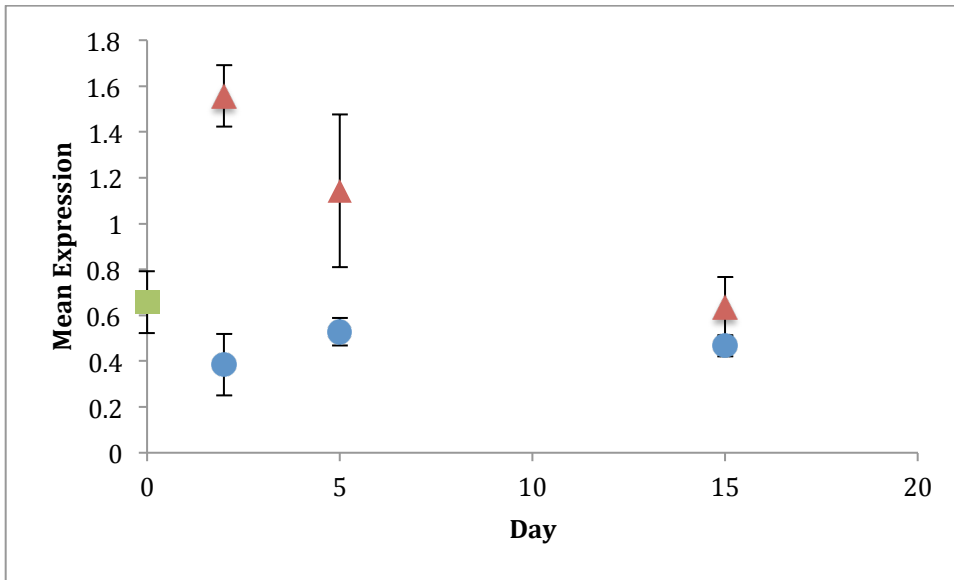


Figure 3: mean expression of NKA  $\alpha 1b$  in anadromous Alewives during a multiday salinity challenge.

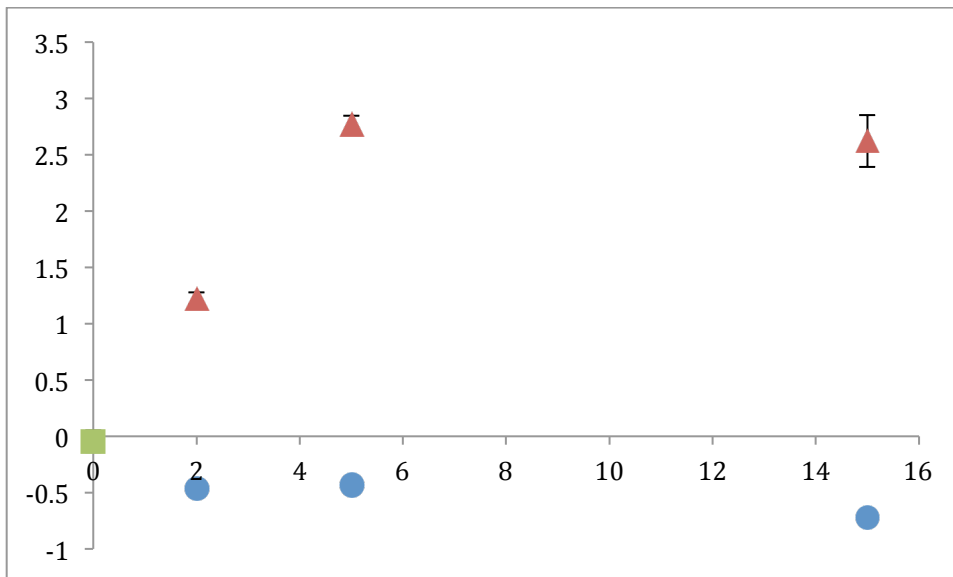


Figure 4: Ratio-ratio expression of NKA isoforms in anadromous Alewives in response to a multiday salinity challenge.

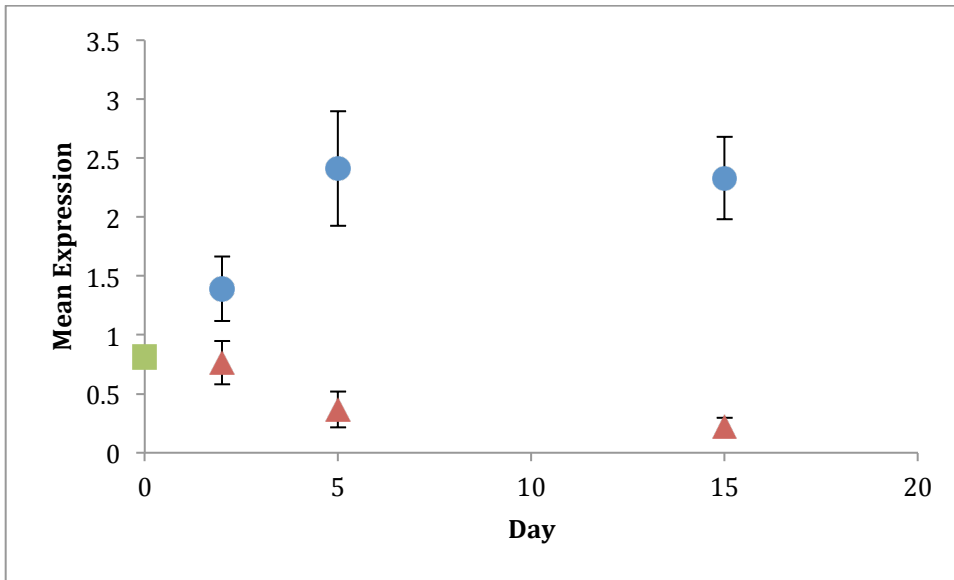


Figure 5: Mean expression of NKA  $\alpha$ 1a in landlocked Alewives during a multiday salinity challenge.

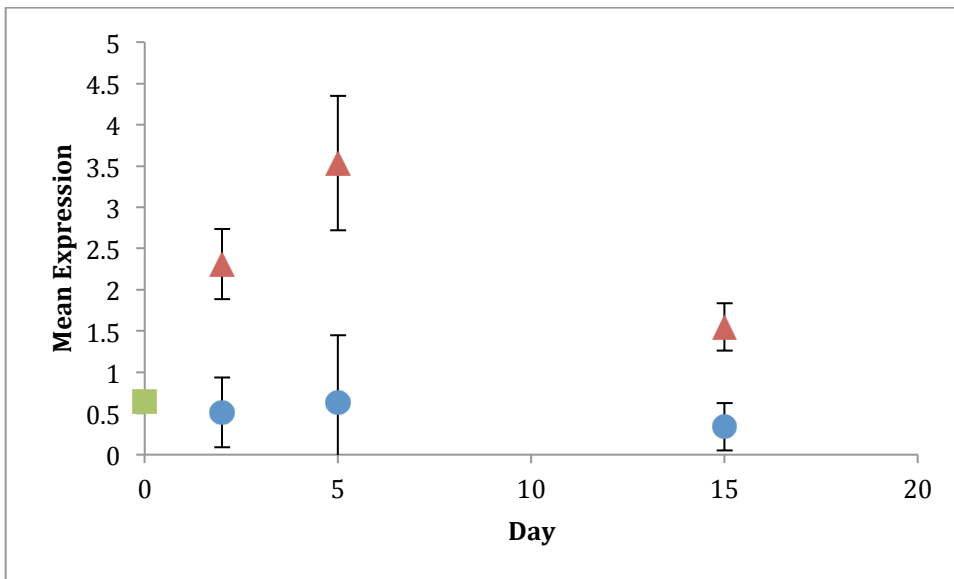


Figure 6: Mean expression of NKA  $\alpha$ 1b in landlocked Alewives during a multiday salinity challenge.

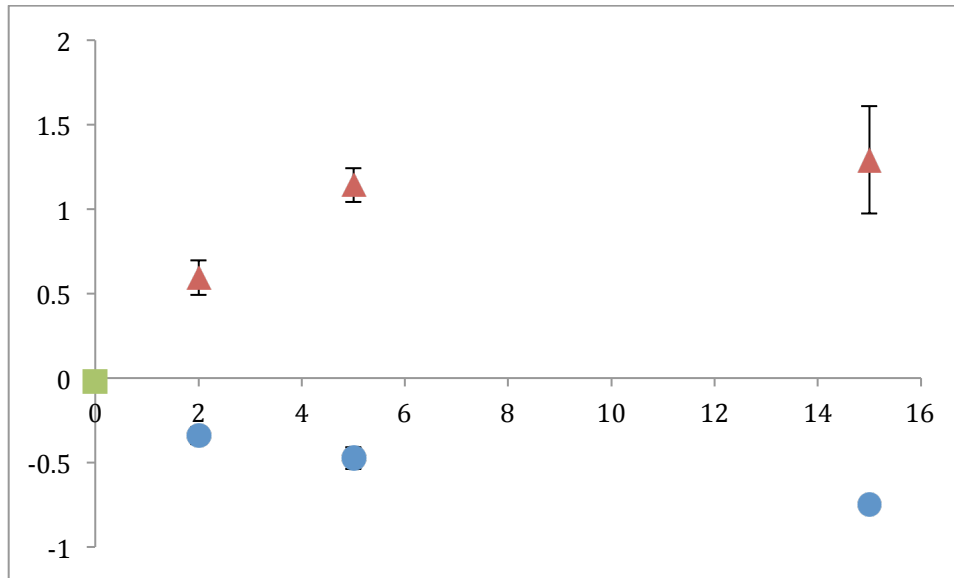


Figure 7: Ratio-ratio expression of NKA isoforms in landlocked Alewives in response to a multiday salinity challenge.

### *Molecular Phylogeny*

The molecular phylogeny built in this study may be seen in figures 8 and 9. Omykiss3 represents an outgroup in the rooted tree (fig. 9). Numbers associated with nodes are Bayesian posterior probability branch support. Salmonid isoforms still show single divergence (group by isoform, consistent with Dalziel et al. (2014)), but Alewife isoforms are not included in these clades. Alewife  $\alpha 1a$  arose first, then  $\alpha 1b$ , and the evolution of the Salmonid isoforms are nested within divergence in Alewives.

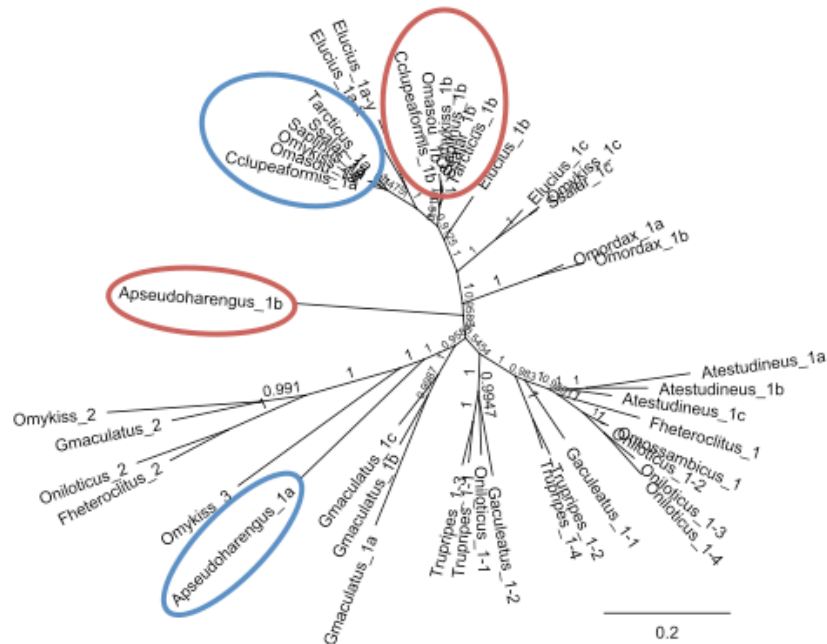


Figure 8: Molecular phylogeny of NKA  $\alpha$ 1a and  $\alpha$ 1b in anadromous Alewives and species with previously known sequences. Blue indicates a NKA  $\alpha$ 1a isoform or clade and red indicates a NKA  $\alpha$ 1b isoform or clade.

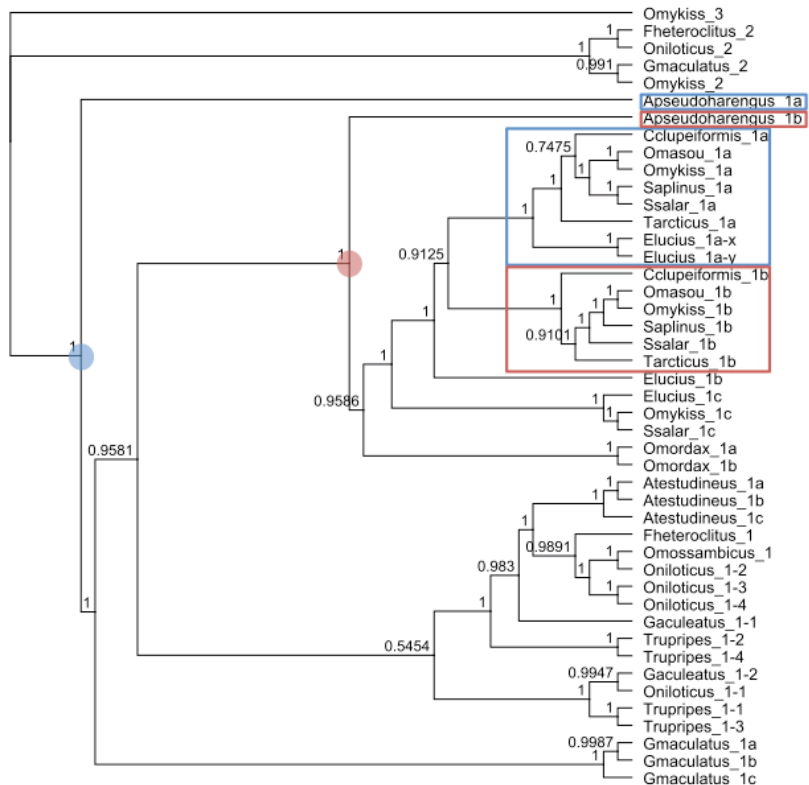


Figure 9: Molecular phylogeny of NKA  $\alpha$ 1a and  $\alpha$ 1b in anadromous Alewives and species with previously known sequences. Blue indicates a NKA  $\alpha$ 1a isoform or clade and red indicates a NKA  $\alpha$ 1b isoform or clade.

## DISCUSSION

The findings of this study represent the first evidence that a member of the family Clupeidae expresses salinity-dependent isoform switching. Naming the isoforms found in this study NKA  $\alpha$ 1a and  $\alpha$ 1b is supported by the behavior of expression and sequence similarities. The strong up-regulation of NKA  $\alpha$ 1a in freshwater and down-regulation in seawater is evident and significant. The up-regulation in seawater and down-regulation in freshwater of  $\alpha$ 1b is also significant. Further, the early peak in NKA  $\alpha$ 1b expression (at the 2 or 5 day time period) is characteristic of this isoform and shown in previous studies (Richards et al. 2003, Bystriansky et al. 2006, Urbina et al. 2013). Candidate sequences are also known to be NKA  $\alpha$ 1 subunits because of nucleotide and protein sequence analyses (conserved regions specific to this family of genes are present). Blastn in NCBI yields mostly isoform specific results. That is to say that all Alewife NKA  $\alpha$ 1a Blastn hits are NKA  $\alpha$  subunit, and most are NKA  $\alpha$ 1a from other species. The same is true for Alewife NKA  $\alpha$ 1b Blastn. This evidence supports the claim that the isoforms studied in this project are NKA  $\alpha$ 1a and  $\alpha$ 1b.

Statistical analysis supports the alternative hypothesis for population gene expression that landlocked and anadromous Alewives have no statistical difference in NKA  $\alpha$ 1a and  $\alpha$ 1b expression at most time points. No significant population effect was found in the mixed model analyses. There are, however, slight trend differences that may indicate expression differences between populations that are discreet. At the 15-day time point, landlocked fish do not express  $\alpha$ 1a as highly as the anadromous fish. Instead, expression seems to level off at this point, whereas the anadromous population expression continues to increase. This may indicate that landlocked fish are better adapted to the



freshwater environment and have other mechanisms that aid in osmoregulation in low salinity environments. Other mechanisms may include more dilute urine or other transport proteins, and might offset the need for continued up-regulation of  $\alpha 1a$ . Though not significant, this finding may be real because it is known from a previous study that landlocked Alewives have significantly reduced performance in seawater compared to anadromous Alewives (Velotta et al, 2014). Also, the trend of  $\alpha 1b$  expression differs slightly in landlocked compared to anadromous fish. In landlocked fish,  $\alpha 1b$  peak expression happens at the 5-day time point as opposed to the 2-day maximum seen in anadromous fish. This may also be a consequence of the freshwater specialization of the landlocked population. Landlocked fish never encounter seawater under natural conditions, so they may need more time to up regulate the  $\alpha 1b$  isoform than anadromous fish that do encounter seawater during their lifetime.

Molecular phylogenetics indicates that patterns of evolution may differ between species of fish. Species that belong to the order Salmoniformes show evidence for evolution in a common ancestor because the isoforms group with like isoforms. The order Salmoniformes underwent a gene duplication event specific to this taxon (Dalziel et al, 2014). Such a duplication event may have allowed the ancestor of these fish to evolve NKA  $\alpha 1a$  and  $\alpha 1b$ . Evolution of these isoforms in Salmoniformes may be convergent with Alewives and other unrelated species. The evolution of NKA  $\alpha 1a$  and  $\alpha 1b$  in Alewives is unlike what is seen in any other species. The phylogeny indicates that NKA  $\alpha 1a$  and  $\alpha 1b$  in Alewives was evolved much earlier than in previously studied species, and that  $\alpha 1a$  evolved previous to  $\alpha 1b$ . These findings are plausible because Alewives are

not in the family Salmonidae, and are more distantly related to salmonids than any fishes used in previous studies.

Investigating mechanisms important to euryhalinity is important, because the movement of marine fishes into freshwater is evolutionarily significant. NKA is a key ionoregulator at the gills of fish. This transporter allows fish to maintain a constant internal osmolality against the environment, and without salinity specific forms of this protein, fishes would most likely not have the ability to tolerate different salinity environments. The evolution of these isoforms, therefore, was important to the invasion of freshwater by fishes that lead to much diversification and the origin of tetrapods.

## **CONCLUSION**

Isoform switching is present in many fish taxa, including the relatively basal family Clupeidae. Further work should sequence the Alewife NKA  $\alpha 1a$  and  $\alpha 1b$  isoforms, and use the resulting sequence for phylogenetic analysis to investigate the evolutionary origin of these genes. Currently, convergent evolution is favored as the likely mode of evolution for NKA  $\alpha 1a$  and  $\alpha 1b$  in different fish lineages, though evolution in a common ancestor is also feasible and may be the most parsimonious solution. Since Alewives are unrelated to any previously studied species, including them in phylogenetic analyses will provide a more diverse sampling of teleost taxa and therefore more strongly supported results.

## ACKNOWLEDGMENTS

I would like to thank Dr. Eric Schultz and Jonathan Velotta for helping me get this project up and running, and for providing guidance when needed. I would also like to thank Jeffery Divino, Zachary Skelton, and Mike Smircich for always lending an open ear or a helping hand. For their moral support I thank all the members of the Schultz lab and my friends; you the real MVP's. Thank you to UConn CAGT and Biotechnology facilities for all your help with various molecular techniques. The Michael Alpert and Ariana Napier Honors Enrichment Scholarship, Student Undergraduate Research Fund (SURF) grant generously funded this project, and I am unbelievably grateful for this opportunity

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